## The 18th ACG Cross Training Seminar

**24th – 27th May 2016, Ho Chi Minh City - Vietnam**

<table>
<thead>
<tr>
<th>Day 1: Tuesday, May 24, 2016</th>
<th>Venue</th>
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| **13:00 – 14:00** Registration | Foyer  
Mekong Ballroom 2 & 3  
New World Saigon Hotel |
| **14:00 - 15:00**  
Opening remarks  
Welcome Address  
by Mr. Duong Van Thanh – Chief Executive Officer of Vietnam Securities Depository (VSD)  

Vietnam securities market - New stage ahead -  
by Mr. Vu Chi Dzung, Director of International Cooperation Department, State Securities Commission of Vietnam  

Vietnam Securities Depository - Steps of Development -  
by Mr. Nguyen Cong Quang, Senior Managing Director of Vietnam Securities Depository | Mekong Ballroom 2 & 3  
New World Saigon Hotel |
| **15:00 – 15:20** Group Photo Session | Foyer  
Mekong Ballroom 2 & 3  
New World Saigon Hotel |
| **15:20 – 15:30** Coffee Break | Foyer  
Mekong Ballroom 2 & 3  
New World Saigon Hotel |
| **15:30 – 15:40** Introduction to the Seminar  
Mr. Yasushi Yoshikawa, Senior Manager, Int’l research & relations, JASDEC | Foyer  
Mekong Ballroom 2 & 3  
New World Saigon Hotel |
| **15:40 – 17:40** Latest projects of each organization  
Presided by JASDEC, Convener of Exchange of Information Task Force  
Moderator: Mr. Yasushi Yoshikawa, Senior Manager, Int’l research & relations, JASDEC  
- This is the time to get to know each other. Each organization is asked to introduce its latest projects/highlights briefly. Through such information exchange, we will study the outline and the recent trend of clearing, settlement and custody field. | Mekong Ballroom 2 & 3  
New World Saigon Hotel |
| **18:30 – 21:00** Welcome Dinner | Grand Indochine Hall  
New World Saigon Hotel |
### Day 2: Wednesday, May 25, 2016

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<tr>
<th>Time</th>
<th>Session</th>
<th>Venue</th>
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| 9:00 – 10:30 | Cross-border collateral management  
*Presided by KSD, Convener of New Business Initiative Task Force*  
*Moderator: Ms. SuJu Kwon, Assistant Manager, Global Business Department, KSD*  
- ACG participants will mainly share not only the status of collateral management but cross-border collateral management if there are member institutions which already introduce cross-border collateral management.  
Presentation:  
- Ms. LV Shangfeng, Business Manager, Depository Department, CCDC  
- Mr. Ashish Kini, Senior Manager, NSDL  
- Mr. Jongmin Lee, Deputy Senior Manager, Securities Financing Department, KSD  
- Ms. XU Zhaoya, Assistant Manager, Clearing and Settlement, CSDC | Mekong Ballroom 2 & 3  
New World Saigon Hotel |
| 10:30 – 10:50 | Coffee Break                                                                                       | Foyer  
Mekong Ballroom 2 & 3  
New World Saigon Hotel |
| 10:50 – 11:50 | Distributed ledger (Blockchain) in the context of Securities market  
*Presided by Technical Task Force, Convener – NSDL and SWIFT*  
*Moderator:*  
- Mr. Krishna Srinivas, Executive Vice President (IT), NSDL  
- Mr. Alexandre Kech, Head of Securities Market Infrastructures and Standards, APAC, SWIFT  
- This session will explain what Distributed Ledger Technology is, its strengths and weaknesses, how it is being used or tested in the context of securities related use cases across the world. | Mekong Ballroom 2 & 3  
New World Saigon Hotel |
| 11:50 – 13:10 | Lunch                                                                                              | Parkview Restaurant  
New World Saigon Hotel |
| 13:10 – 13:40 | Securities regulatory reporting  
*Presided by SWIFT*  
*Moderator: Mr. Alexandre Kech, Head of Securities Market Infrastructures and Standards, APAC, SWIFT* | Mekong Ballroom 2 & 3  
New World Saigon Hotel |
Following the global financial crisis of 2007/2008, new regulations have been and still are being put in place establishing various regulatory reporting mandates, some operated by CSDs or central banks. The variety of reporting mechanisms required by individual regulators is creating burden on the industry and make reporting data impossible to compare at regional or global scale. During this session, we will share recent developments in Europe that will help improve standardization of regulatory reporting.

### PFMI Session

**Introduction on research theme of this year: The application and observance of PFMI in domestic market**

*Presided by CSDC, Convener of Legal Task Force*

*Moderator: Ms. Li Nan, Legal Affairs Department, CSDC*

- LTF will introduce the latest progress on this research and the conclusion after the survey we have conducted on ACG members regarding this research. We will also invite ACG members to introduce their own status quo on the application and observance of the Principle 1 (Legal basis) in the PFMI.

**Presentation:**
- Ms. Li Nan, Legal Affairs Department, CSDC
- Mr. Arup Mukherjee, Chief Regulatory Officer, Indian Clearing Corporation Limited (ICCL)
- Mr. Ravindra Sahu, Senior Manager, National Securities Depository Limited
- Ms. Vandita Jagasia, Deputy Manager- Issuer Admission Cell, Central Depository Services (India) Limited
- Mr. Mostafa Ghasempour, Central Securities Depository of Iran (CSDI)

**13:40 – 15:10**  
Mekong Ballroom 2 & 3  
New World Saigon Hotel

**15:10 – 15:30**  
Coffee Break  
Foyer  
Mekong Ballroom 2 & 3  
New World Saigon Hotel

**PFMI Workshop**

*Presided by Thomas Murray and CDCPL*

*Moderator: Mr. Arif Aziz Suria, Deputy Manager, Internal Audit, CDCPL*

- This workshop would give a detailed overview of
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<th>CPSS IOSCO Principles and its relevance to current Financial Market Infrastructure. In addition to that assessment methodology and tools for assessment would be discussed with some real life examples.</th>
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| Presentation:
*Mr. Jim Micklethwaite, Director, Capital Markets & Agent Bank Group, Thomas Murray*
*Mr. Adam Vine, Director & Regional Area Head, Asia Pacific, Thomas Murray* |

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<th>18:00 – 21:00</th>
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| **Culture Show**  
Ho Chi Minh Municipal Theater  
7 Lam Son Square, District 1, Ho Chi Minh City |
| **Gala Dinner**  
Com Nieu Sai Gon – Vietnamese Gastronomy  
59 Ho Xuan Huong Street, District 3, Ho Chi Minh City |
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<tr>
<th>Time</th>
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<tr>
<td>9:30 – 11:30</td>
<td><strong>CSD Risk Management</strong></td>
<td>Presided by CDCPL, Convener of Risk &amp; Recovery Management Task Force</td>
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<td><strong>Moderator:</strong> Mr. Arif Aziz Suria, Deputy Manager, Internal Audit, CDCPL</td>
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<td>CSDs are also exposed to risks where Asset Safety is the key issue to mitigate the crisis default. Approaches adopted by CSDs to enhance asset safety in their markets for the safety &amp; protection of end investor. Implemented Risk Models, controls &amp; standards entails to overcome the turmoil in financial market, liquidity of credit and massive write-offs are how well covered. Members to share presentation on the topic.</td>
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<td><strong>Presentation:</strong></td>
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<td></td>
<td>- Mr. Amogh Velankar, Assistant Manager, CDSL</td>
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<td></td>
<td>- Mr. Arup Mukherjee, Chief Operating Officer, ICCL</td>
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<td>- Mr. Nguyen Hai Nam, Acting Manager, VSD</td>
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<td>- Mr. Ravindra Sahu, Senior Manager, NSDL</td>
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<td>- Mr. Ali Roostaei, Securities Settlement Senior Expert, CSDI</td>
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<td>- Mr. Mustafa Farooq, Deputy Manager, CDCPL</td>
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<td></td>
<td>- Closing Remarks by Thomas Murray to brief on the Risk Management</td>
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<td>11:30 – 13:00</td>
<td><strong>Lunch</strong></td>
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<td>13:00 – 14:30</td>
<td><strong>Facilitating Digital Channels to service clients</strong></td>
<td>Presided by NSDL, Convener of Technical Task Force</td>
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<td><strong>Moderator:</strong> Mr. Krishna Srinivas, Executive Vice President (IT), NSDL</td>
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<td>Showcase various services provided by their organization through Digital Channels to your Clients. For example, Online Account Opening, Transactions in securities account through online/Mobile App, e-Voting, SMS /email Alerts etc.</td>
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<td><strong>Presentation:</strong></td>
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<td>- Mr. Muhammad Imran Shah, Deputy Manager, Information Technology, CDCPL</td>
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<td>- Mr. Nadeera Athukorale, Assistant manager, CDS</td>
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<td>- Mr. Anand Tirodkar, Deputy Manager, Business Development, CDSL</td>
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Venue:
- Mekong Ballroom 2 & 3, New World Saigon Hotel
- Grand Indochine Hall, New World Saigon Hotel
- Ms. Ruth Yendra Indriyatmi, Relationship Management 1
  Unit Head (acting), KSEI
- Ms. Daphne Lu, TDCC
- Mr. Doan Phuc Thanh, Deputy Manager of Information Technology Division, VSD

| 14:30 – 15:00 | Coffee Break | Foyer
| | | Mekong Ballroom 2 & 3
| | | New World Saigon Hotel

**Breakout Session**
**Presided by JASDEC, CDCPL and NSDL jointly**
*Moderator: Mr. Yasushi Yoshikawa, Senior Manager, Int’l research & relations, JASDEC*
*Moderator: Mr. Arif Aziz Suria, Deputy Manager, Internal Audit, CDCPL*
*Moderator: Mr. Krishna Srinivas, Executive Vice President (IT), NSDL*

**Breakout session**
(Attendees choose one of the 3 topics below in advance. In each topic, members are divided into several groups, then each group discusses the same topic and finally the leader of each group makes a short presentation about the result. Attendee shall be provided with short questionnaire prior to Cross Training Seminar to get familiar with the topic and attendee shall get information from the relevant unit in their respective institutions on the topic and questionnaire for discussion in Breakout Session.)

- **(1) How to communicate with participants / customers effectively**
  *(Exchange of Information Task Force)*
  - Better service comes from better communication with participants and customers. Attendees will share how they communicate and coordinate with participants to satisfy their needs and try to find an effective way, which may be a hint to other members.

- **(2) Value Added Services (impact of VAS on CSDs operations and its effect on end investors)**
  *(Risk & Recovery Management Task Force)*
  - CSDs globally are constantly faced with new challenges – new technologies and increased competition. With clients demanding excellent customer service, CSDs must deliver technologically advanced Value Added Services (VAS) to address these challenges and align them with business objectives. Initially, CSDs were designed to reduce risk in the market by centralizing the holding of securities, as markets grew and developed, CSDs expanded the range of services to support the back-office activities for better profits and increased investor confidence by offering VAS.

| 15:00 – 17:30 | | Mekong Ballroom 1
| | | New World Saigon Hotel
| | | New World Saigon Hotel
How to achieve cyber resilience for CSD / CCP (Technical Task Force)

- Cyber security has become the most important risk to CSDs/ CCPs around the world. The global post trade services have become highly networked and depend on continuous, secure and uninterrupted data flow. The highly networked environment brings cyber risks. The discussion between attendees will be carried out on the measures (such as defining cyber resilience policy, identification of Critical Information Infrastructure, encryption of data at rest and motion, authentication mechanism for user of system etc.) taken by their organization to achieve cyber resilience.

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<thead>
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<th>Time</th>
<th>Event</th>
<th>Location</th>
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<tbody>
<tr>
<td>17:30 – 17:40</td>
<td>Introduction of upcoming ACG20 General Meeting in Tehran, Iran</td>
<td>Mekong Ballroom 2 &amp; 3 New World Saigon Hotel</td>
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<td>by Dr. Mohammadreza Mohseni, CEO, CSDI</td>
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<td>17:40 – 17:50</td>
<td>Closing remarks</td>
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<td>by Mr. Nguyen Cong Quang, Senior Managing Director, Vietnam Securities Depository</td>
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<td>19:00 – 21:30</td>
<td>Farewell Dinner</td>
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<td>Thao Dien Village</td>
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<td>197/2 Nguyen Van Huong Street, District 2, Ho Chi Minh City</td>
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**Optional Tour: Friday, May 27, 2016**

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<tr>
<th>Time</th>
<th>Event</th>
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<tbody>
<tr>
<td>8:00 – 15:00</td>
<td>Visit Cu Chi Underground Tunnel Network</td>
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