

20th ACG Cross-Training Seminar

Agenda

Arrival Day – Wednesday, 9 th May 2018	
Arrival	
19:00-22:00	Welcome Dinner <ul style="list-style-type: none"> • <i>Welcome Address by Mr. KONG Qingwen, Deputy General Manger, CSDC</i>
Day One – Thursday, 10 th May 2018	
08:00-09:00	Registration
09:00-10:10	Keynote Address I <ul style="list-style-type: none"> • <i>Hosted by Dr. DAI Wenhua, General Manager, CSDC</i> • <i>Ms. YANG Liu, Deputy Director General, Department of International Affairs, China Securities Regulatory Commission (CSRC)</i> • <i>Professor BA Shusong, Chief China Economist, Hong Kong Exchange Group</i>
10:10-10:40	Group Photo & Coffee Break
10:40-11:00	Keynote Address II <ul style="list-style-type: none"> • <i>Hosted by Mr. KONG Qingwen, Deputy General Manger, CSDC</i> • <i>Dr. DAI Wenhua, General Manager, CSDC</i>
11:00-12:30	Morning Session: Globalization of the Chinese Capital Market <ul style="list-style-type: none"> • <i>Moderated by Mr. KONG Qingwen, Deputy General Manager, CSDC</i> • <i>Mr. LIU Shaotong, Executive Vice President, Shanghai Stock Exchange (SSE)</i> • <i>Ms. YU Huali, Chief Information Officer, Shenzhen Stock Exchange (SZSE)</i> • <i>Ms. NIU Wenjie, General Counsel, National Equities Exchange and Quotations (NEEQ)</i> • <i>Ms. ZHONG Rongsa, Vice Chairwoman, Asset Management Association of China (AMAC)</i> • <i>Mr. HUANG Yong, Vice President, China Securities Investor Services Center (ISC)</i> • <i>Dr. BAI Weiqun, Chief Supervisor, China Central Depository and Clearing Corporation (CCDC)</i> • <i>Mr. SHEN Wei, Deputy General Manager, Shanghai Clearing House (SHCH)</i>
12:30-13:30	Lunch
Afternoon Session 1: Risk & Recovery Management Task Force Session	
13:30-15:30	Risk arising for CSDs facilitating Foreign Investors and their Mitigating Factors <u><i>Benefits of investing globally are widely accepted to increase the diversification and increase in return on portfolio and to reduce the overall risk. Though, investors need to recognize the risks that may be lurking in their custody relationships. Foreign Investors weigh in managing their banking and, in particular their custody relationships. Those are the some of the key risks that includes: counterparty risk (particularly sub-custodian exposure), transparency and control risks (focused on asset segregation) and regulatory risk (including depository risk).</i></u> <ul style="list-style-type: none"> • <i>Moderated by Mr. Saleem Haider Khan, Senior Manager – Investor Account Services, CDCPL – Convener RRM Taskforce</i> • <i>Mr. Wamiq Ahmed, Manager - Legal, Compliance & Risk, CDCPL</i> • <i>Mr. Saleem Haider Khan, Senior Manager - Investor Accounts, CDCPL</i> • <i>Mr. Nadeera Asanga Athukorale, Assistant Manager, CDS</i> • <i>Mr. Wenceslaus Furtad, Manager – Operations, CDSL</i>

	<ul style="list-style-type: none"> • <i>Mr. MassodReza Abbasi, R&D Senior Expert, CDSI</i> • <i>Mr. Amit Jindal, Assistant Vice President, NSDL</i>
15:30-15:50	Coffee Break
Afternoon Session 2: Exchange of Information Task Force Session	
15:50-17:20	<p>Fee Structure: Where and how each CSD charge fees</p> <p><u>There are differences and common points on how CSDs charge fees to their users. This session is to clarify how CSDs built fee structures based on their core and non-core services, which can give some ideas and hints to other CSDs when introducing new services or reconsidering their current income structure.</u></p> <ul style="list-style-type: none"> • <i>Moderated by Mr. Yuji Sato, Senior Manager, JASDEC - Convener Exchange of Information Task Force</i> • <i>Ms. Hye-su Hwang, Associate, KSD</i> • <i>Ms. Moeko Takahashi, Manager, JASDEC</i> • <i>Mirza Zafar Hussain, Branch Manager, CDCPL</i> • <i>Ms. Praphaphan Tharapiwattananon, Vice President, Head of Depository Dept., TSD</i> • <i>Ms. Marianna Julianti Adeleida Rantung, Head of Service Management Unit, KSEI</i> • <i>Mr. Amit Jindal, Assistant Vice President, NSDL</i>
Afternoon Session 3: New Investor Services by CDS and Clearing Limited, Nepal	
17:20-18:00	<p><u>The session will include briefing of the two new products developed and implemented by CDS and Clearing Limited, Nepal: Mero Share (online application for Investors) and CASBA (Centralized application for ASBA Based issuance). The session will focus on the need of the depository and how can a depository benefit from applications like these.</u></p> <ul style="list-style-type: none"> • <i>Mr. Dev Prakash Gupta, Chief Executive Officer, CDS and Clearing Limited</i> • <i>Mr. Suresh Neupane, Senior IT Officer, CDS and Clearing Limited</i>
19:00	Dinner

Day Two – Friday, 11th May 2018

Morning Session 1: Legal Task Force Session

8:30-10:00	<p>Cross-border CSD Linkage and Cooperation</p> <p><u>Financial market infrastructures face new opportunities and challenges as capital markets of different countries and regions become more interconnected. This session seeks to share experiences and practice with a view to promoting future CSD collaboration.</u></p> <ul style="list-style-type: none"> • <i>Moderated by Mr. SUN Hongbing, Director General, Legal Affairs Department, CSDC – Convener Legal Task Force</i> • <i>Mr. Ho-jin Sung, Head of Fund Planning Team, KSD</i> • <i>Mr. Narayan Venkat, Assistant Vice President, NSDL</i> • <i>Ms. Vivien Chang, Vice manager of Fund & Global Services Department, TDCC</i> • <i>Mr. SUN Hongbing, Director General, Legal Affairs Department, CSDC</i> • <i>Mr. BI Yuanzhe, Assistant Senior Manager, Depository Department, CCDC</i>
10:00-10:20	Coffee Break

Morning Session 2: Technical Task Force Session

10:20-12:20	<p>Cyber Resilience</p> <p><u>Cyber Resilience is about the management—not the elimination—of risk. Not only is eliminating risk</u></p>
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	<p><u>impossible, but it impedes agility; an environment with an acceptable level of risk supports innovation. Cyber resilient organizations recognize that security needs to go beyond systems, software or IT departments to include raising the security IQ of all employees and improved organizational processes.</u></p> <ul style="list-style-type: none"> • Moderated by Mr. Parthiv Dave, Manager, NSDL – Convener Technical Task Force • Mr. Parthiv Dave, Manager, NSDL • Mr. Aaron LIU, Senior Solution Architect, SWIFT • Mr. Muhammad Imran Ayub, Manager, CDCPL
12:20-13:50	Lunch
Afternoon Session 1: New Business Initiatives Task Force Session	
13:50-15:50	<p>Introduction of new services by CSDs</p> <p><u>CSD are increasingly adding new services to their portfolio while continuing to improve existing offerings. This session will bring updates on the introduction of new services by CSDs, and take a look at their background, motivators, mode of implementation, challenges and effects.</u></p> <ul style="list-style-type: none"> • Moderated by Ms. So Yeon Kim, Global Business Department, KSD – Convener New Business Initiative Task Force • Mr. Martin Chung, Assistant Vice President, HKEX • Mr. Amit Kumar Jain, Manager – Business Development, CDSL • Mr. Muhammad Hasan, Manager, CDCPL • Mr. Jeongwook Lee, Head of LEI Team, KSD • Mr. Nadeera Asanga Athukorale, Assistant Manager, CDS • Ms. Chia-Wei Lin, Associate, TDCC • Mr. Dmitry Ivanov, AECSD Representative
15:50-16:10	Coffee Break
Afternoon Session 2: Special Session	
16:10-17:40	<p>Application of New Technologies in Capital Market</p> <p><u>New technologies such as distributed ledger (DLT), artificial intelligence (AI) and big data are widely expected to change how people transact, how authorities regulate and how market infrastructures operate. Member representatives and industry experts are invited to share their vision of the future capital market.</u></p> <ul style="list-style-type: none"> • Moderated by Mr. HE Zhijun, Director General, IT Development Department, CSDC Shanghai Branch • Mr. MO Fantao, Director General, IT Development Department, CSDC Beijing Branch • Mr. Parthiv Dave, Manager, NSDL • Mr. LIU Jian, Director, Big Data Department, Shanghai Stock Exchange Technology Co., Ltd • Mr. ZHOU Weilin, General Manager – Data Platform, Ant Financial Services Group • Ms. Chermaine LEE, Director, Securities & FX Markets APAC, SWIFT
17:40-17:55	<p>Wrap-up</p> <ul style="list-style-type: none"> • NSDL - 20th ACG CTS Coordinator
19:00	Dinner