

## Agenda

<b>Arrival Day: Monday, May 11, 2015</b>	
-	Arrival
19:00 – 22:30	Welcome Reception & Cocktail - The Victorian, The Kingsbury Hotel

<b>Day One of Cross Training Seminar : The Balmoral, The Kingsbury Hotel Tuesday, May 12, 2015</b>	
08:30 – 09:00	Registration
<b>Host Session</b>	
09:00 – 10:20	<p><b>Welcome address by Mr. Vajira Kulathilaka</b> Chairman, Colombo Stock Exchange</p> <p><b>Keynote speech by Mr. Thilak Karunaratne</b> Chairman, Securities &amp; Exchange Commission of Sri Lanka</p> <p><b>Launch of new CDS service</b></p> <p><b>Vote of Thanks – by Mr. Nalin Fonseka</b> Head of Central Depository Systems</p>
10:20 – 10:30	<b>Group Photo Session</b>
10:30 – 10:50	Tea / Coffee Break

<b>Morning Session :</b>	
<b>Presided by JASDEC, Convener of Exchange of Information Task Force</b>	
Moderator: Mr. Yasushi Yoshikawa, Senior Manager, Int'l research & relations, JASDEC	
10:50 – 11:00	<b>Introduction to the Seminar</b>
11:00 – 12:50	<p><b>Business outline and latest projects of each organization</b></p> <p>- This is the time getting to know each other. First, governance, settlement model/cycle, account structure and basic service, etc. of each organization are compared, using the Member Profile on the ACG Website. Next, as many as organizations introduce their latest issues very briefly. Through such information exchange, we will study the outline and the recent trend of clearing, settlement and custody field.</p>



12:50 – 14:20	Lunch
<b>Afternoon Session One :</b> <b>Presided by KSD, Convener of New Business Initiative Task Force</b> Moderator: Ms. Suju Kwon, Assistant Manager, Global Business Department, KSD	
14:20 – 17:00	<b>Standardization for Asia Fund Industry</b> - The session is to share opinions for harmonizing and standardizing Asian Fund industry to promote cross-border fund market in the region. By participating the session, members can exchange information on the status of fund market in each economy including market infrastructure.  - Presentation ·KSD, Korea (Ms. Youna Im) ·CDSL, India (Mr. Umesh Kambli) ·TDCC, Taiwan (Mr. Howard Wang) ·JASDEC, Japan (Mr. Keita Izumi) ·CSDI, Iran (Mr. Farhad Morsali) ·CDCPL, Pakistan (Mr. Faisal Aslam & Mr. Muhammad Hussain Lakhani) ·CSDC, China (Mr. FANG Kun)  (including Tea /Coffee Break for 30 minutes)
<b>Afternoon Session Two :</b> <b>Presided by SWIFT</b> Moderator: Mr. Alexandre Kech, Head of Securities Market Infrastructures and Standards, APAC, SWIFT	
17:00 – 17:30	<b>ISO 20022 messaging standard adoption</b> - What is ISO 20022 and why CSDs are driving adoption globally. This session will give attendees all they need to know about this revolutionary financial message open and free standards, its benefits, status of adoption and where more information can be found about it.
18:30 –	Dinner out - The Samudra Balroom, Taj Samudra Hotel

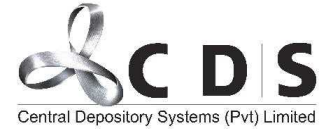


<p><b>Day Two of Cross Training Seminar : The Balmoral, The Kingsbury Hotel</b>  <b>Wednesday, May 13, 2015</b></p>	
<p><b>Morning Session One :</b>  <b>Presided by CDCPL, Convener of Risk &amp; Recovery Management Task Force</b>  Moderator: Mr. Faisal Parekh, Manager, Investor Account Services, CDCPL</p>	
09:00 – 10:10	<p><b>Emerging Risk Management Requirement i.e. KYC, CDD, EDD, AML Implication, FATCA, etc.</b></p> <ul style="list-style-type: none"> <li>- Know your customer (KYC) policy is an important step developed globally in the form of verifying information about customers, to prevent theft, financial fraud, money laundering and terrorist financing through technique which includes Customer Due Diligence (CDD), Enhanced Due Diligence (EDD), AML implications &amp; FATCA requirement etc. and to manage risks prudently. Members to share presentation on the implemented practice &amp; activities involved to carry out such task. Members will exchange views, information and knowledge amongst attendees.</li> <li>- Presentation <ul style="list-style-type: none"> <li>• CDCPL, Pakistan (Mr. Faisal Parekh)</li> <li>• NSDL, India (Mr. Ravindra Sahu)</li> <li>• CDSL, India (Mr. Manish Sharma)</li> <li>• CSDI, Iran (Mr. Mohammad Sajjad Shiakarzadeh)</li> <li>• KSEI, Indonesia (Ms. Rica Amanda)</li> </ul> </li> </ul>
10:10 – 10:30	Tea / Coffee Break
<p><b>Morning Session Two :</b>  <b>Presided by CSDC, Convener of Legal Task Force</b>  Moderator: Mr. Huang Xiangsheng, Executive Manager, Business Development, CSDC</p>	
10:30 – 11:30	<p>Introduction on research theme of this year: The application and observance of PFMI in domestic market</p> <ul style="list-style-type: none"> <li>- PFMI was released by CPSS-IOSCO in April 2012, which is significant to FMI operation and market stability. Some CSD/CCPs have already participated in the assessments organized by regulators and conducted self-assessment in line with PFMI. An FMI should have a well-founded, clear, transparent, and enforceable legal basis for each material aspect of its activities in all relevant jurisdictions. The research theme--“The application and observance of PFMI in domestic market” will emphasize the Principle 1 Legal Basis, and ACG members could whereby introduce and share their experiences on the implementation of principle 1 in domestic markets.</li> <li>- Presentation <ul style="list-style-type: none"> <li>• CSDC, China (Mr. Huang Xiangsheng)</li> <li>• CDSL, India (Ms. Mohini Kharpude)</li> <li>• CSDI, Iran (Ms. Farzaneh Yari)</li> <li>• NSDL, India (Mr. Nitin Ambure)</li> <li>• VSD, Vietnam (Mr. Doan Phuc Thanh)</li> </ul> </li> </ul>

11:30 – 13:00	Lunch
<b>Afternoon Session One :</b> <b>Presided by NSDL, Convener of Technical Task Force</b> Moderator: Mr. Nitin Ambure, Vice President, Issuer Interface & Risk Management, NSDL	
13:00 – 15:00	<b>Distribution of corporate benefits</b> - Members to make presentation on the practice followed by them in their respective organization. Corporate actions in which cash and/or stock distributions are made by companies to their shareholders. Benefits are usually distributed in proportion to the investor's holding.  - Presentation · CSDI, Iran (Mr. Payam Oskouie) · SGX, Singapore (Mr. Teow Boon Hou) · TDCC, Taiwan (Mr. Hsu Chung-Yi) · VSD, Vietnam (Mr. Hoang Thi Luong Trang)
15:00 – 15:20	Tea / Coffee Break
<b>Afternoon Session Two : (Breakout session)</b> <b>Presided by JASDEC, CDCPL and NSDL jointly</b> Moderator: Mr. Yasushi Yoshikawa, Senior Manager, Int'l research & relations, JASDEC Moderator: Mr. Faisal Parekh, Manager, Investor Account Services, CDCPL Moderator: Mr. Ravindra Sahu, Senior Manager, Participant Interface & Inspection, NSDL	
15:20 – 17:30	(Attendees choose one of the three topics below in advance. In each topic, members are divided into several groups, then each group discusses the same topic and finally the leader of each group makes a short presentation about the result.)  <b>(1) Reaction to the change of global regulation</b> <b>(Exchange of Information Task Force)</b> - We are busy observing the requirements for the global regulations including PFMI, EMIR, and FATCA. As to such global regulations, attendees will discuss approach, achievement, collaboration, challenge, current issue and future plan, etc. and finally try to find the necessary steps and efficient way toward the goal. or <b>(2) Account opening process</b> <b>(Risk &amp; Recovery Management Task Force)</b> - The account opening process is the first touch point for customers to open and maintain accounts with CSDs. The account opening process includes eligibility criteria, procedures, regulatory requirements, documentation, services offered to clients, etc. The flow of processes involved in Account Opening will be discussed amongst the attendees.



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	or <b>(3) Corporate action announcement in structured form (Technical Task Force)</b> - Attendees will discuss approach, achievement, challenges, current issue and future plan, etc. and finally try to find the necessary steps and efficient way toward the Corporate Action announcement in structured form.
17:30 – 18:00	<b>Graduation ceremony</b>
19:00 – 22:00	Cultural Night & Gala Dinner - The Balmoral, The Kingsbury Hotel

### Recreational Activities (Optional) : Thursday, May 14, 2015

#### Day Three: Tour Option 1: Elephant Conservation + Kandy

Time (Estimated)	Activity	Service from Tour Company
06.30 am	Departure from The Kingsbury Breakfast onboard	Luxury Coach, Transport with Guide to Pinnawella Travel Refreshment (Drinks/ Wet wipes)
09.00 am – 11.30 am	Arrival at Pinnawella	Guide and booking of tickets
11.30 pm – 01.00 pm	Travel to Earl's Regency Hotel	Transport to Earl's Regency Hotel
01.00 pm – 02.30 pm	Lunch at Earl's Regency Hotel	Booking of Hotel
02.30 pm – 03.00 pm	Travel to Dalada Maligawa	Transport and Guide
03.00 pm – 05.00 pm	Sightseeing at Dalada Maligawa	Guide, booking of tickets and photo permits
05.00 pm – 08.00 pm	Return to The Kingsbury	Transport and Travel Refreshment

#### Day Three: Tour Option 2: Galle Excursion + Mask factory + Turtle Hatchery

Time (Estimated)	Activity	Service from Tour Company
08.00 am	Departure from The Kingsbury	Luxury Coach, Transport with Guide to Travel Refreshment (Drinks/ Wet wipes)
10.00 am – 12.00 pm	Explore Galle Fort	Guide
12.00 pm – 02.00 pm	Transfer from Galle to Jetwing Light House for Lunch.	Transport and Booking of Hotel
02.00 pm - 03.00 pm	Transfer from Galle to Ambalangoda	Transport and Travel Refreshment (Drinks/ Wet wipes)
03.00 pm - 03.30 pm	Visit the Mask Factory in Ambalangoda	Guide and Purchase of Tickets
03.30 pm - 04.00 pm	Transfer from Galle to Kosgoda	Transport and Travel Refreshment (Drinks/ Wet wipes)
04.00 pm – 05.00 pm	Visit the turtle Hatchery in Kosgoda	Guide and Purchase of Tickets
05.00 pm – 07.00 pm	Transfer back to Colombo from Kosgoda & Drop at The Kingsbury	Transport and Travel