

National Securities Depository Limited

NSDL ISSUER SERVICES

Mr. Prashant Vagal
Senior Vice President

August 23, 2018



NSDL
Technology, Trust & Reach

About NSDL

One of the Largest Depositories in the World

Promoted by Institutions of National Stature



Other Shareholders



NSDL Facts & Figures

- ❑ **NSDL Active Accounts : 17.4 Million**
 - a new demat account is opened in every 4 seconds

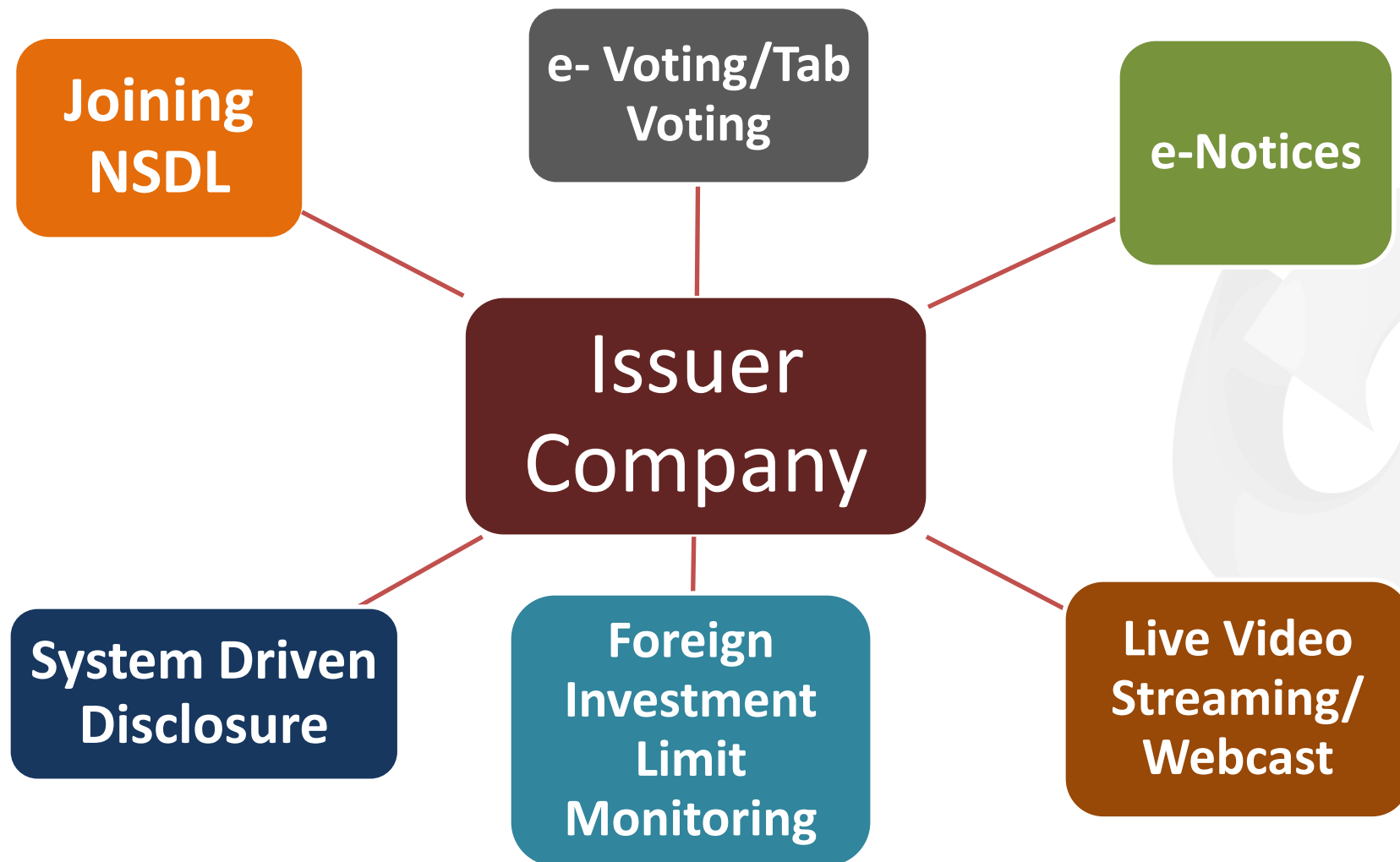
- ❑ **No of issuers : 20,624**

- ❑ The Asset value of securities under NSDL's custody has crossed **2.68 Trillion USD** which is almost equal to the GDP of India.

- ❑ Market share of **90 %** of total custody value, **97%** in debt securities and over **99%** in terms of Foreign Portfolio Investment in India.

- ❑ NSDL has clients in PIN codes (around **97%** of all PIN codes) covering all states and Union territories in the country.

Offerings for Issuers



NSDL The preferred choice

- SEBI as a National Numbering Agency has assigned the responsibility for issuing ISIN to NSDL
- Leader across listed/ unlisted companies
- Rapid account growth & dynamic Pan-India presence
- Investors friendly technology initiatives for Issuers as well as shareholders

Seamless Onboarding Process

Submission of the requisite documents and the payment of applicable fees:

- Application to join NSDL
- Net worth certificate from a Chartered Accountant
- Copy of Board Resolution mentioning name of signatories along with specimen signature.
- Confirmation letter from Registrar & Transfer Agent for common registry.
- Certified true copies of MOA & AOA
- Audited annual report for the last financial year
- Tri Partite Agreement-Issuer, R&T Agent and NSDL

Enables Company to maximise shareholder's participation

e-Voting/Tab Voting

- **Remote e-Voting**
 - Postal ballots
 - General Meetings
 - Shareholders Director's Elections of Banks
 - Court Convened Meetings
- **Tablet Based voting**
 - At the venue of General Meetings

e-Notices

- Facility provided to send the Annual Report/Notice/any other communications to its shareholders on their e-mail id
- Web based, user friendly system
- Dispensation of requirement to send annual report/notice in physical form which makes it cost effective

Live Video Streaming/Webcast of General Meeting

- SEBI has mandated compulsorily webcast of AGMs across Top 100 companies from April 1, 2019 onwards
- Wider participation of shareholders
- Secured login credentials on e-Voting website of NSDL
- Convenient to shareholders

Foreign Investment Limit Monitoring (FILM)

Regulation

- As per FEMA Act, 1999, the onus of compliance with the various foreign investment limits rests on the Indian company.
- SEBI Circular dated April 05, 2018 for monitoring of Foreign Investment Limits in Listed Indian Companies.

Requirement

- To appoint a Designated Depository for the purpose of monitoring the foreign investment limit.
- Designated Depository to monitor the investment limits as per FEMA Regulations 2017 :
 - FPI Limits (24%*), NRI Limits (10%*) and Sectoral Limits (as prescribed by Govt.).

Issuer Portal

- Register and capture requisite information on NSDL Issuer Services Portal.
- Facility to monitor aggregate NRI/FPI investment limits or the sectoral cap for a given company.
- Companies published on NSDL website - Red Flag and Breach List
- Available investment headroom as at the end of the day

System Driven Disclosure (SDD)

Regulation

- SEBI SAST and SEBI PIT Regulation – Mandatory for Listed Companies
- SEBI has vide its circular dated May 28, 2018 decided to implement the next phase of system driven disclosure for non promoters and insiders.
- Data provided to Exchanges for disclosure under SDD.

Requirements

- For SEBI PIT regulation, consent to designate NSDL for system driven disclosure
- To provide data pertaining to directors and employees (CEO & upto two level below)

Issuer Portal

- Register on NSDL Issuer Services Portal to provide above data for SDD
- Facility to view director/employee details and demat accounts in NSDL

e-Voting services

- ❑ NSDL provides an e-Voting platform using secure Internet Infrastructure that enables shareholders of companies to vote electronically on resolutions of companies put to vote by shareholders.
- ❑ The e-Voting system of NSDL has been authorized by the Ministry of Corporate Affairs, Government of India.
- ❑ NSDL has received the Website Quality Certificate from Standardization Testing and Quality Certification (STQC) Directorate, Department of Information Technology, Ministry of Communications & IT, Government of India for offering e-voting facility.

- ❑ Mandatory for all listed companies
 - NSDL system has modules for retail clients and institutional clients having custodians

- ❑ NSDL offers Remote e-voting as well as Venue e-Voting

- ❑ Remote e-voting
 - Available on internet
 - Clients are issued login credentials by NSDL
 - Single sign-on integration with leading online brokers
 - Postal ballots
 - General Meetings
 - Shareholders Director's Elections of Banks
 - Court Convened Meetings

Venue e-voting

- e-voting continues into meeting venue
- Tablet based voting on wireless network
- Voting stations and in-seat voting
- NSDL sets up entire infrastructure

Tablet Based voting

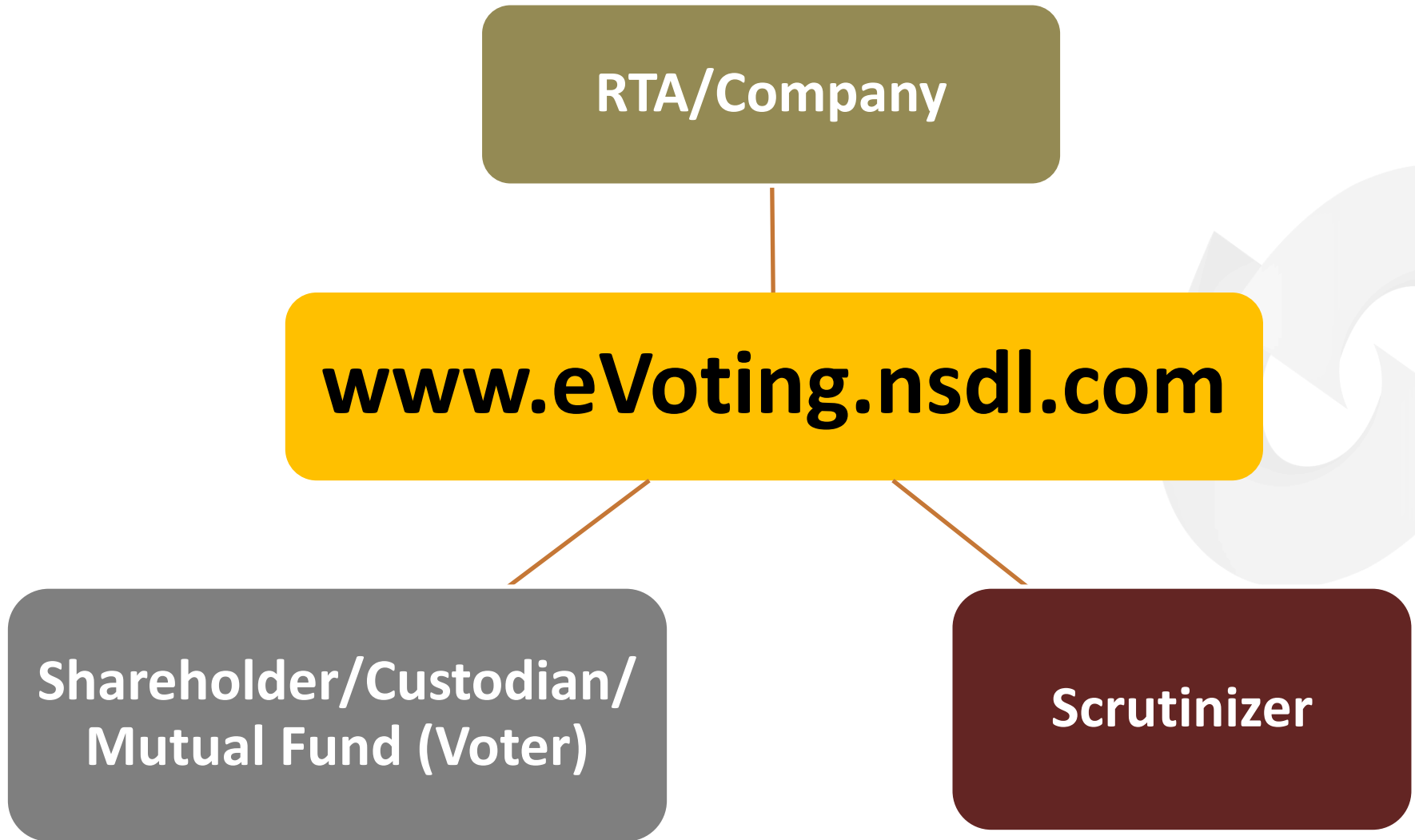
- At the venue of General Meetings

Consolidated Results available immediately after close of voting

Live Streaming of General Meetings

e-Voting facility to Venture Capital Funds

e-Voting for Clubs / Associations etc.



- ❑ No. of companies who have entered into agreements with NSDL for availing e-Voting services: **2979**

- ❑ No. of companies who have availed e-Voting services of NSDL :**2504**

- ❑ No. of occasions the companies have availed e-Voting services :**8484**

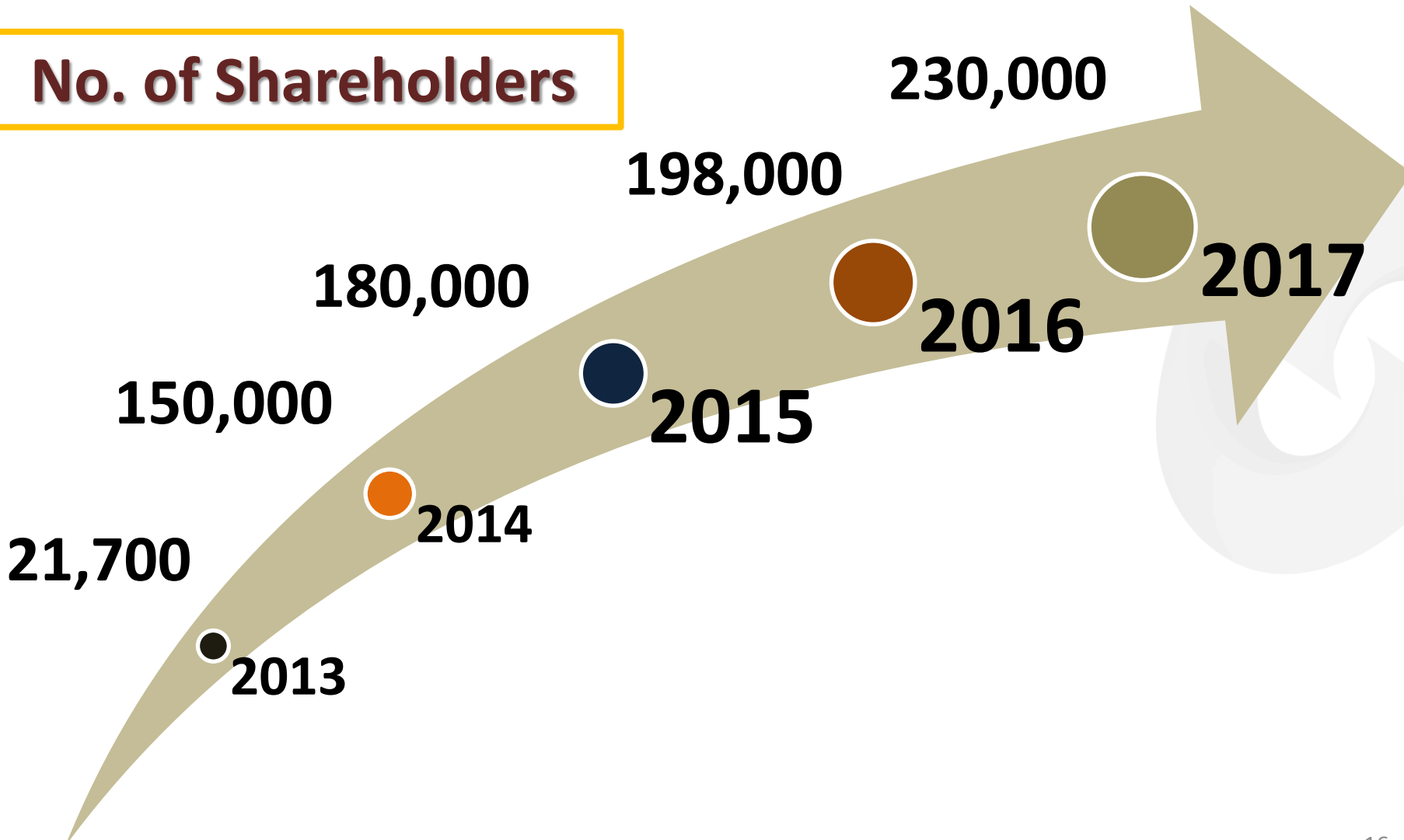
- ❑ No. of companies who have availed e-Voting services for AGMS / EGMS: **2355**

Live Video Streaming of the General Meetings proceedings

- ❑ Live Video streaming facility commenced by NSDL on August 26, 2016
- ❑ Shareholder can view 'live' general meeting proceedings from various locations through secure login
- ❑ Shareholders can pose questions to the company management
- ❑ Wider participation of shareholders at the general meetings of companies

Shareholders who voted electronically

No. of Shareholders



Latest Developments in Custodian Module

- Even-wise Generation of online report for voting details to custodians.
- Bulk POA upload for Custodians.
- Password change facility for custodian users on e-Voting website
- Notification of voting details done by email on daily basis.

- NSDL has developed e-notices facility to facilitate companies to send the Annual Report/Notices/any other communications to its members on their e-mail IDs
- Buy Back intimations to Investors
- Company De-listing intimations to Investors
- Interim / Final Dividend Intimation to Investors



SPEED-e


- NSDL's common internet facility called *SPEED-e* facilitates users to submit delivery instructions on the internet




IDeAS

- Simpler, Faster and Access your Demat Account Anytime, Anywhere through internet for viewing transaction and holding statement

Mobile APP

- 
- Access your Demat Account Holding Anytime, Anywhere on NSDL Mobile Application.
 - Android based mobile phones having OS version 3.1 or higher
 - App Store for IOS based mobile phones having IOS version 6.0 or higher

NSDL CAS

- 
- NSDL CAS is a single account statement consisting of transactions and holdings in investor's demat account as well as in units of Mutual funds held in Statement of account (SOA) form.
 - The Statement enables investors to have a consolidated view of his/her financial assets and provides an insight of his/ her portfolio across various asset classes..



FPI Monitor

FPI Monitor

- Centralized Registration portal for Foreign Portfolio Investors.

NSDL CAS Highlights

Consolidated view of Financial Assets

Insight of portfolio across various asset classes

Enriched experience of managing portfolio effectively

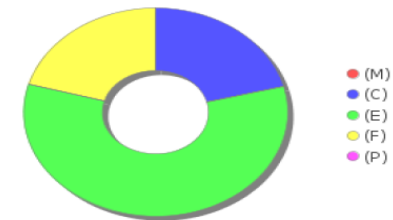
Rich analysis of portfolio for better decision making and simplified monitoring

Interactive, Dynamic, Graphic and detailed view of the statement

PORTFOLIO COMPOSITION

ASSET CLASS	Value in ₹	%
Equities (E)	41,34,18,534.80	58.39%
Preference Shares (P)	1,415.00	0.00%
Mutual Funds (M)	42,429.39	0.00%
Corporate Bonds (C)	14,85,79,700.00	20.98%
Money Market Instruments (I)	0.00	0.00%
Securitized Instruments (S)	0.00	0.00%
Government Securities (G)	0.00	0.00%
Postal Saving Scheme (O)	0.00	0.00%
Mutual Fund Folios (F)	14,59,79,936.65	20.61%
TOTAL	70,80,22,015.84	

Summary of value of holdings of



YOUR CONSOLIDATED PORTFOLIO VALUE

₹ 71,23,84,815.18 | Summary

Your Demat Account and Mutual Fund Folios			
In the joint names of MAHENDRA GIRDHARILAL (PAN:AAAPW1327L) LAAYANTI GIRDHARILAL (PAN:AAAPW1329F)			
Account Type	Account Details	No. of ISINs / Schemes	Value in ₹
NSDL Demat Account	IDBI BANK LIMITED DP ID:IN300450 Client ID:10074382	5	8,074.60
NSDL Demat Account	IDBI BANK LIMITED DP ID:IN300450 Client ID:13624516	7	48,087.80
		Total	56,162.40
In the joint names of MAHENDRA GIRDHARILAL (PAN:AAAPW1327L) SUNANDA M. WADHWANI (PAN:AAIPW8115A)			
Account Type	Account Details	No. of ISINs / Schemes	Value in ₹
NSDL Demat Account	IDBI BANK LIMITED DP ID:IN300450 Client ID:10079474	279	43,06,636.94
		Total	43,06,636.94
In the Single Name of MAHENDRA GIRDHARILAL (PAN:AAAPW1327L)			
Account Type	Account Details	No. of ISINs / Schemes	Value in ₹
NSDL Demat Account	IDBI BANK LIMITED DP ID:IN300450 Client ID:10599444	1171	54,77,87,120.49
CDSL Demat Account	IDBI BANK LIMITED DP ID:13028600 Client ID:00074151	43	1,42,54,958.70
Mutual Fund Folios	26 Folios	30	14,59,79,936.65
		Total	70,80,22,015.84
		Grand Total	71,23,84,815.18

Many more services to be rolled out for Issuers shortly by NSDL



NSDL
Technology, Trust & Reach

For more information on our services do visit: www.nsd.com

NSDL issuer services portal <https://issuer.nsd.com>

NSDL e-Voting Services www.issuer.nsd.com

For FPI Monitor: <https://www.fpi.nsd.com>

Toll Free No: 1800 222 990



THANK YOU