

Discussion Paper in the Breakout Session

To activate the group discussion in the breakout session, we would like all participants to collect the information within your organization regarding the following questions in advance before the Seminar.

<Option1: Reaction to the change of global regulation >

1. Which global regulations affect your organization?

(explanation about your organization)

We believe PFMI is the common issue for every organization.

If there are any other regulations, please introduce them.

2. What have you achieved to comply with such regulations?

(explanation about your organization)

As to PFMI, your organization may disclose the assessment, adopt the new risk management measures, change your laws / rules / organizational structure, or implement new settlement framework / system.

3. What is the next step / future plan of your organization?

(explanation about your organization)

Please refer to Question 2.

<p>4. Do you collaborate with other departments or organizations, etc.?</p>
<p>(explanation about your organization)</p> <p><i>To comply with the regulations, your organization may make up the special group / team within your organization or with other organizations, etc. Your regulators may promote such collaboration. Or you may rely on the support from consultants, etc.</i></p>
<p>5. Have you found any difficulty to comply with the regulations?</p>
<p>(explanation about your organization)</p> <p><i>The stance of your regulators may affect your activity. You may face the shortfall in human resources or lack of information. Conflict of interest with other departments or participants may happen. To catch up with the global trend may not be so easy. You may feel the gap between global standard and local standard.</i></p>
<p>6. What is the most important / serious issue for your organization now?</p>
<p>(explanation about your organization)</p> <p><i>More or less, there would be some issues to tackle for every organization related to Question 4 and 5. Let's discuss what is the most important / serious issue for your organization and how to overcome such difficulty.</i></p>
<p>7. Please summarize your idea what is the most important point to comply with the regulations smoothly and effectively.</p>
<p>(Your opinion)</p> <p><i>This question is the goal of the group discussion of option 1. Please share your opinion with other members during the session.</i></p>