Investor e-Access Services Survey Report



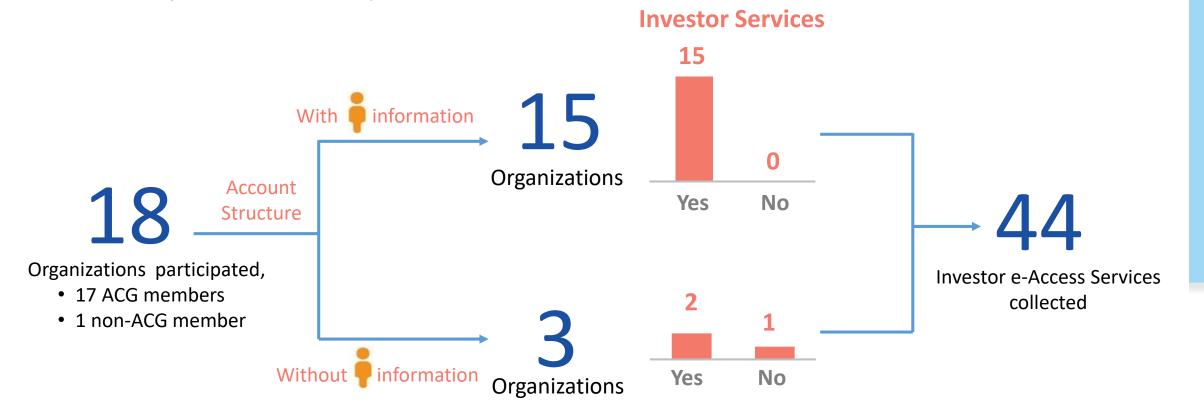
September 2022



Background

■ Survey Topic: Investor e-Access Services Survey

■ Survey Period: since July 2020



Survey Results







15 organizations (83%)

AGM Services

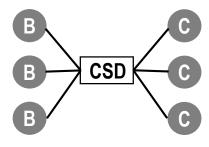


9 organizations (50%)

Service

Type

Hub for Stakeholders



6 organizations (33%)

Others



3 3 5 8 0 0 A 1 B 2 C 3 D 4 F 5 G 6 9 0

1 organizations (6%)

Applied Fintech/ New Techniques



Investor Portal



2 API (40%)

3 Big data **(35%)**



AGM Service

1 UI/UX (50%)

2 API (33%)

2 None (33%)

Key Findings & Conclusion

- CSDs whose account structure is indirect holding type can also provide investor e-access services.
- The responses collected show that the most investor e-access services are investor portals, while the AGM services and hub for stakeholders services are the second and the third most commonly provided service types. In addition to the above three types, there are also LEI code issuance and post-trade services for crowdfunding and venture capital.
- Fintech and advanced techniques/concepts are most used in investor portal services, and the mostly used ones are UI/UX, API and Big Data.

Thank You!

