

China Securities Depository and Clearing Corporation Limited

Legal Task Force Activity Report

Legal Affairs Department

CSDC

Danang, Vietnam

October, 2023



CONTENTS

Part 1. Work of Legal Task Force during ACG24 - ACG25

Part 2. Future Plans of Legal Task Force

/01

Work of Legal Task Force during ACG24 - ACG25



01

Work of Legal Task Force during ACG24 - 25

□ ACG 24 in November, 2022 : Activity Report and Group Meeting



□ Work report of Legal Task Force



□ Group meeting with the theme *data protection and information security*

□ The 23rd ACG Cross Training Seminar in June, 2023

Topic: Cross Border Trading and Services in Securities Market



1. Securities Cross-Border Connections in ChinaClear

By Ms. Lei Lu, Senior Manager, CSDC



2. HKD-RMB Dual Counter Model ("Dual Counter Model")

By Mr. Richard Shum, Senior Vice President, HKSCC



3. International cooperation in the securities field

By Mr. Jean Lorrain, Chair of Securities Advisory Board, Toronto Centre



4. Post-Trade Services and Cross-Border links

By Mr. Arman Melkumyan, Secretary General, AECSD



5. Swift Securities View Smarted Settlement Transactions

By Ms. Chermaine Lee, Director, Securities Go-To-Market, Swift



6. Proxy Trading for Foreign Investors in Iran Capital Market: Challenges VS. Solutions

By Mr. Alireza Torabi, Director of Legal Services, CSDI



7. Introduction to Cross border trading and services in securities market

By Mr. Yogesh Lad, Assistant Vice President, NSDL



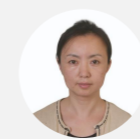
8. Cross-border Collateral Management

By Mr. Davin Cheung, APAC Head Clearstream Banking, Singapore Branch



9. Global Securities Service of KSD

By Mr. Edward Goo, Assistant Manager, KSD



10. Your trusted partner for post-trade financial services

By Ms. Li Chen, Director and Chief China Strategy of Euroclear Bank SA/NV Singapore Representative Office

□ Information Sharing

No.	Institutions	Reports
1	Financial Stability Board (FSB)	Liquidity in Core Government Bond Markets
2	International Capital Market Association (ICMA)	Observations and categorization relating to sustainability in the repo market
3	International Monetary Fund (IMF)	World Economic Outlook update
4		Global Financial Stability Report
5		Annual Report 2022
6		Annual Report 2021
7	International Organization of Securities Commissions (IOSCO)	Report on current central counterparty practices to address non-default losses
8		Central Counterparty Financial Resources for Recovery and Resolution
9		Implementation monitoring of the PFMI Level 3 assessment on Financial Market Infrastructures Cyber Resilience

/02

Future Plans of Legal Task Force

□ Concerns: Information Exchange



Trend: the world's financial markets are becoming more and more closely connected



Countermeasures: to strengthen information exchange and to deepen the understanding of other capital markets



Forecast: to make good use of the platform of ACG to lay a good foundation for deeper cooperation among us



Wish: to hear your voice and suggestions

Thank you!

China Securities Depository and Clearing Corporation Limited (CSDC)

Address: No. 17 Taipingqiao Street, Xicheng District, Beijing

Tel: 4008-058-058

Post Code: 100033

www.chinaclear.cn

