



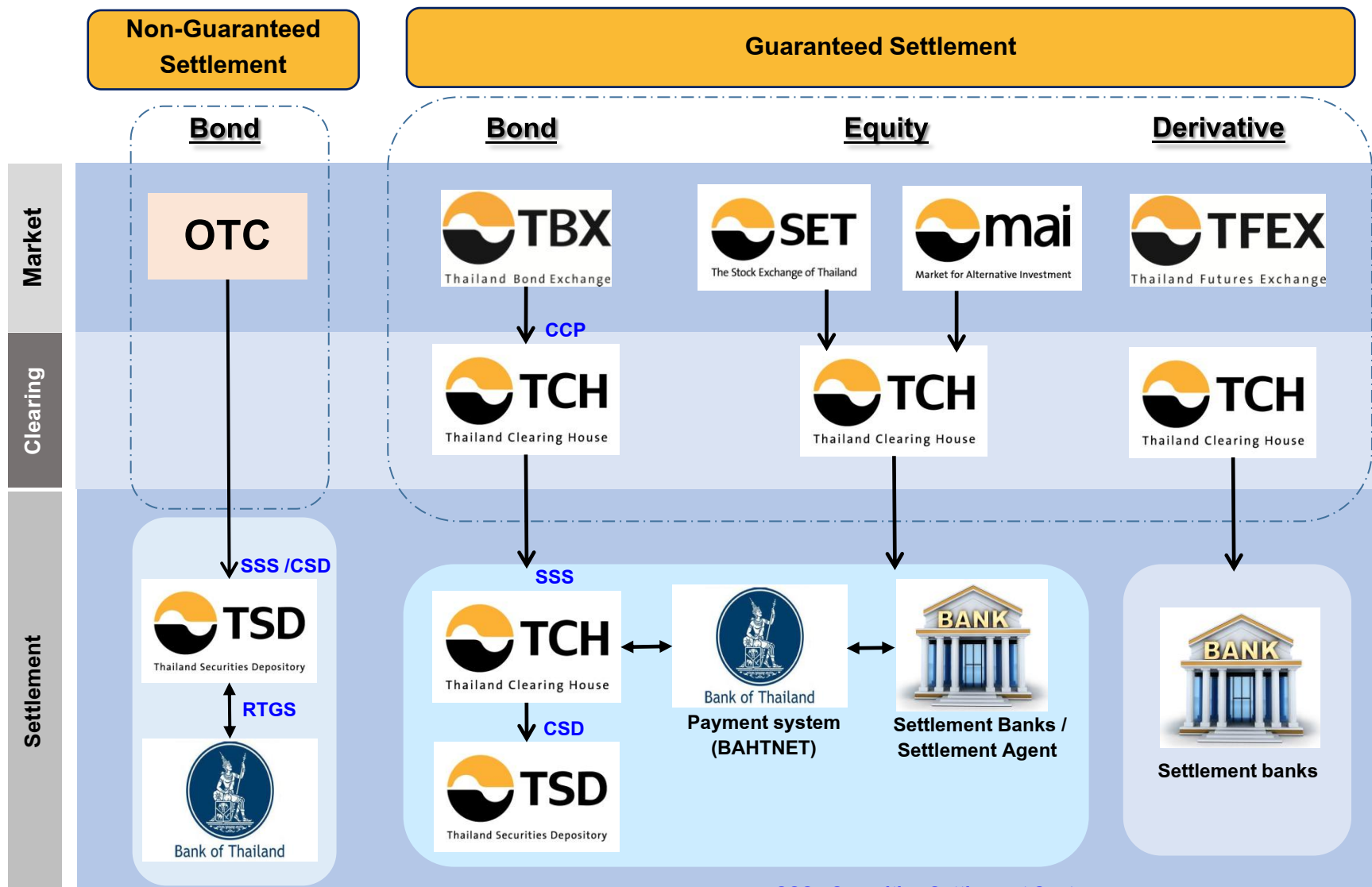
Fee Structure

Thailand Securities Depository Co., Ltd

20th ACG Cross Training: Exchange of Information Task Force

10 May 2018

Trading Clearing & Settlement Environment



SSS : Securities Settlement System

CCP : Central Counter Party

CSD : Central Securities Depository

RTGS : Real Time Gross Settlement

Products and Services



**Securities Deposited
in our system
(Immobilized)**

- Shares
- Depository Receipt
- Warrant / Derivative Warrant
- Investment Unit (including ETF / REIT / IFF)

On exchange trade:
T+2 settlement cycle

- Government Bond
- Corporate Bond
- Fixed Income in Non-THB currency

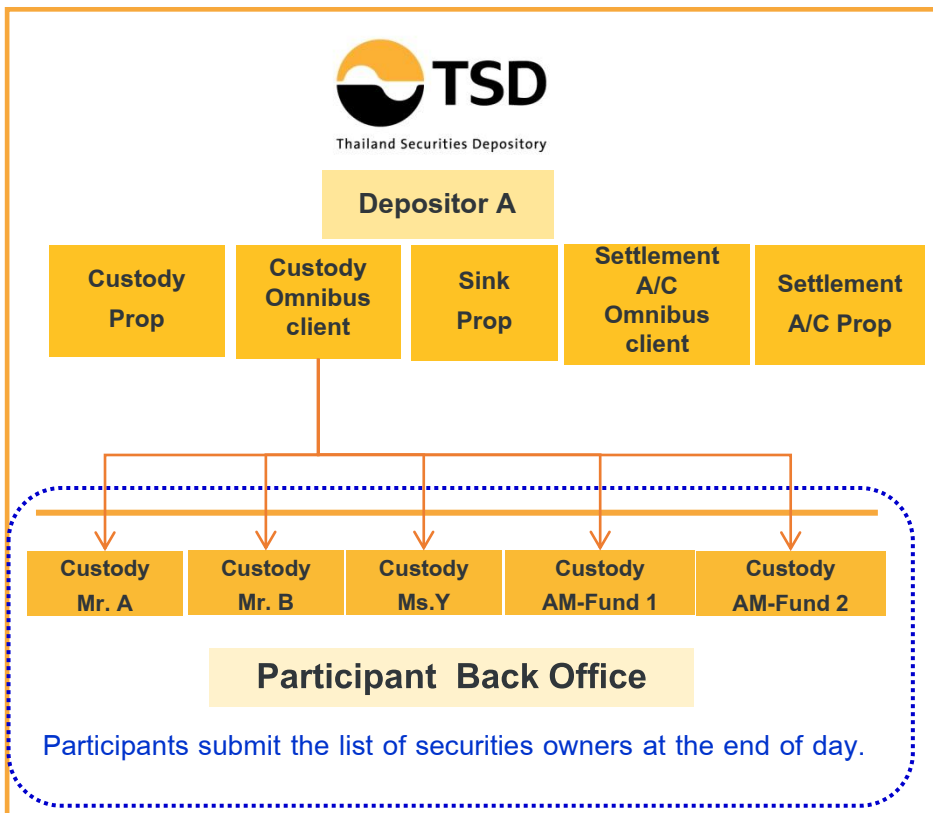
1) On exchange trade: T+2 settlement cycle
2) OTC trade: Real Time Gross Settlement

**Who can be our
depositor**

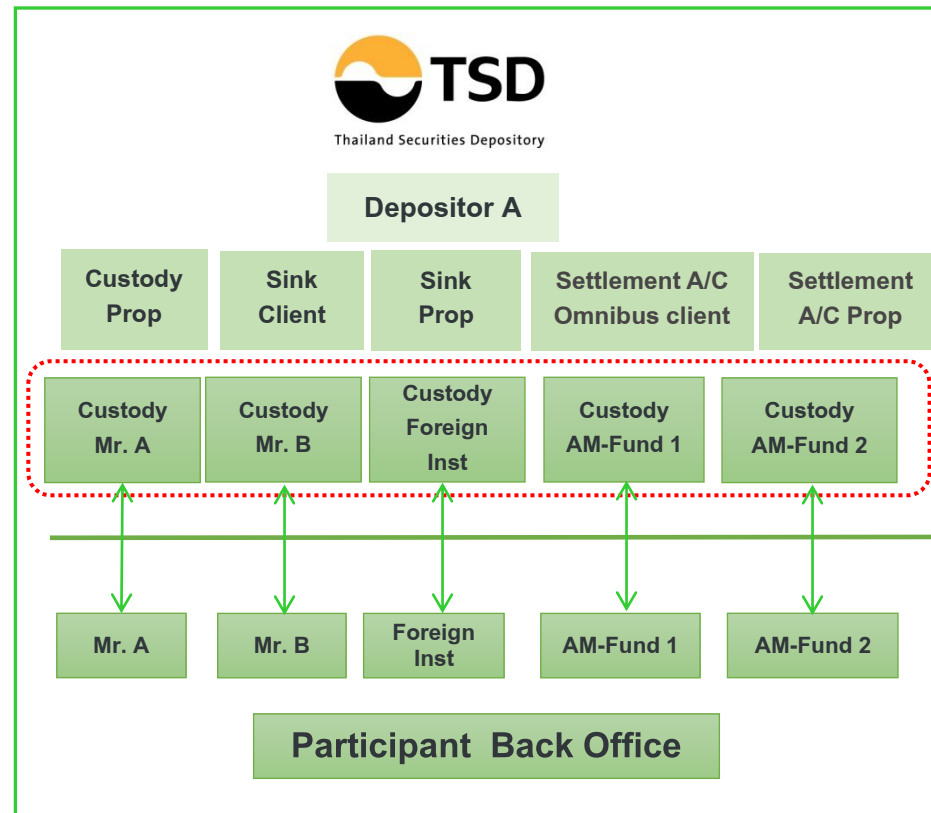
- Bank of Thailand
- Securities Companies
- Financial Institutions under financial institution business law
- Life or Non-life Insurers under life or non-life insurance law
- Juristic persons operating a business as a mutual fund trustee, representative of debenture holders, custodian of securities and issuer of depository receipts
- Juristic persons issuing securities

Account Structure

Omnibus (Segregated at End of day)



Real time Segregated



Fee Structure: Equity (1)

Products: Shares / Investment units / Warrants / Derivative Warrants / Trust Units / Depository Receipt

Safekeeping Fee

- Calculated on daily basis and collect on monthly basis
- Base on the value of the outstanding securities in the depositor's depository account at the end of the day



THB 1.50 per month for each THB 1 million of the value of the outstanding securities.



Transaction Fee

Charged by transaction base
i.e. Securities transfer / Securities deposit into scripless system / Securities Withdrawal from scripless system



- Securities Transfer: THB 10
- Securities deposit: THB 10
- Securities Withdrawal: THB 65

Charged to our depositors

- ✓ No minimum charged
- ✓ No volume discount

Fee Structure: Debenture (2)

- Products:**
- 1) Debentures / Convertible debentures / Short-term debentures / Debentures under a securitization scheme / Warrant on debentures and other securities similar thereto
 - 2) Fixed income with foreign currency

Safekeeping Fee

- Calculated on daily basis and collect on monthly basis
- Base on the value of the outstanding securities in the depositor's depository account at the end of the day



Transaction Fee

Charged by transaction base
i.e. Securities settlement for OTC / Securities deposit into scripless system / Securities Withdrawal from scripless system

- 1) THB 1 per month for each THB 1 million of Par value of securities
- 2) THB 2.50 per month for each THB 1 million of Par value of securities

- | | |
|----------------------------------|--------|
| • Securities settlement for OTC: | THB 35 |
| • Securities deposit: | THB 10 |
| • Securities Withdrawal: | THB 65 |

Charged to our depositors

- ✓ No minimum charged
- ✓ No volume discount

Fee Structure: Government Instruments (3)

Products: Bond / Treasury bills / Other types of government debt instruments in the depository account

Safekeeping Fee

- Calculated on daily basis and collect on monthly basis
- Base on the value of the outstanding securities in the depositor's depository account at the end of the day



Transaction Fee

Charged by transaction base
i.e. Securities transfer / Securities deposit into scripless system / Securities Withdrawal from scripless system

THB 1.00 per month for each THB 1 million of Par value of securities

(For depositor as a foundation which is exempted from corporate income tax or to maintain the liquidity reserve required by law → THB 0.25 per month)

- | | |
|----------------------------------|--------|
| • Securities settlement for OTC: | THB 35 |
| • Securities deposit: | THB 10 |
| • Securities Withdrawal: | THB 65 |

Charged to our depositors

- ✓ No minimum charged
- ✓ No volume discount

Additional TSD Services



- | | |
|---|---------------------------|
| • Securities Registration Services : | Annually basis |
| • ISIN issuance : | None |
| • System for Create / Redeem ETF : | None |
| • SBL Service Provider : | % of Lending Fee |
| • Corporate Actions News Announcement :
(CA SWIFT/ CA non-SWIFT) | Initial and Monthly basis |



www.set.or.th/tsd