

Fee Structure

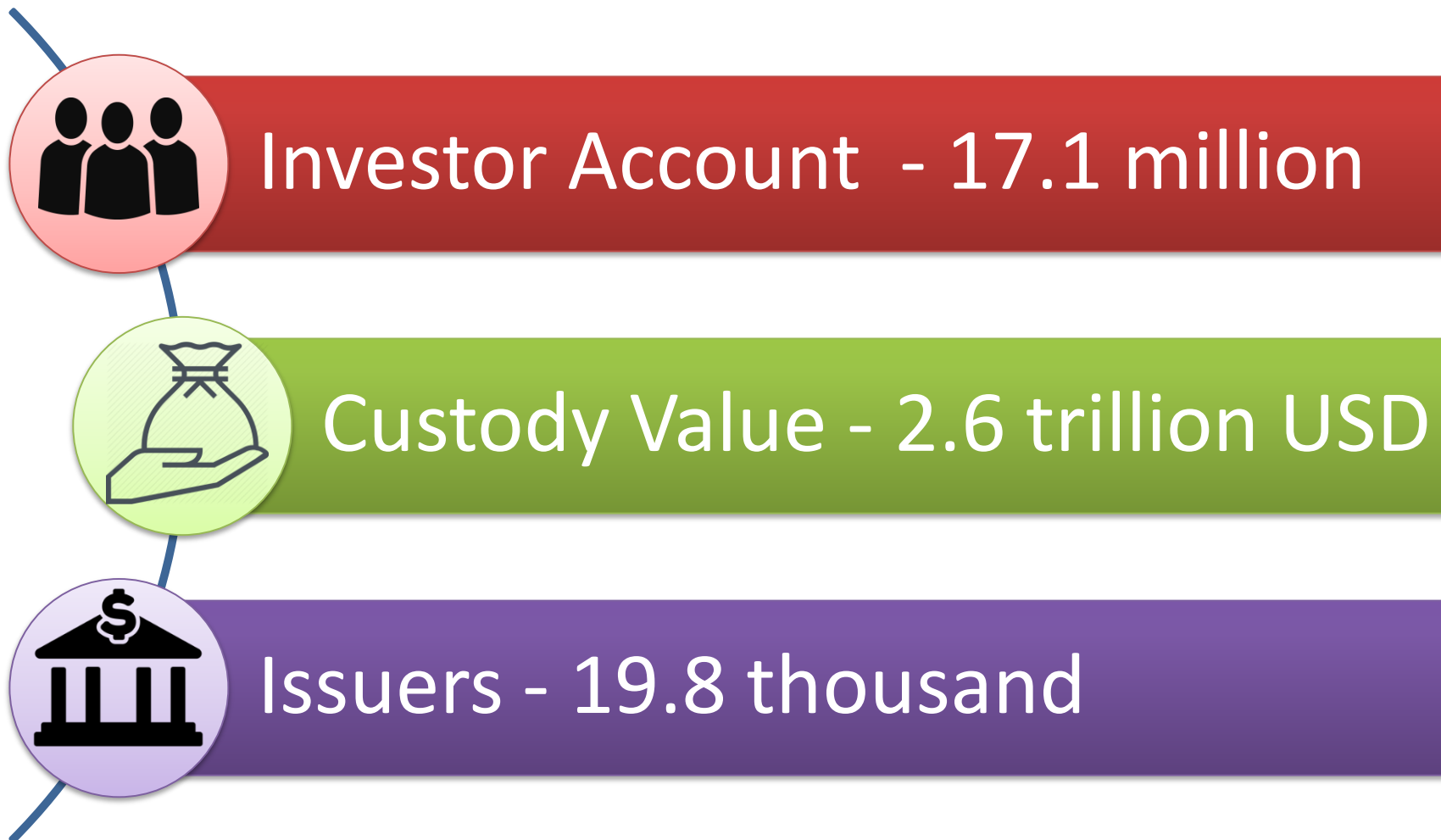
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20TH ACG Cross-Training Seminar – Shanghai
Exchange of Information Task Force
(National Securities Depository Limited)



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Statistics



Eligible Securities

Securities	Eligible?	How it is handled	Settlement cycle
Domestic Stocks	✓	Dematerialized	T+ 2
Corporate Bonds	✓	Dematerialized	1. Exchange trade - T+2 2. OTC trade - Choice of T+0 or T+1 or T+2
Commercial Papers	✓	Dematerialized	1. Exchange trade - T+2 2. OTC trade - Choice of T+0 or T+1 or T+2
Agency Bonds	✓	Dematerialized	1. Exchange trade - T+2 2. OTC trade - Choice of T+0 or T+1 or T+2
Investment Funds (including ETF, REIT)	✓	Dematerialized	T and T+1 for MF.
Government Bonds	✓	Dematerialized	T+ 1 settlement for outright deals.
Mutual Funds	✓	Dematerialized	

Account Structure



Mandatory Individual Segregated Accounts at CSD

- Beneficial Owner name is on the Account.
- Legal title to Accountholder.
- Full protection from participant default.
- Full Portability.
- Transfer Orders entered in CSD achieve finality in real time.
- Individual position computation at CSD in real time.



Charges

NSDL provides depository services to investors and clearing members through market intermediaries called Depository Participants (DPs). NSDL does not charge the investors directly but charges its DPs, who are free to have their own charge structure for their clients. NSDL charges to DPs are uniform for all DPs. Some charges are payable by Issuers and other users also.

Sr. No.	Fees Charged / User	Charges for Core Services	Charges for Non-Core Services
1	Depository Participant	<ul style="list-style-type: none"> • Admission fees • Settlement Fees • Pledge • Re-materialisation • Minimum Fess • Consolidated Account Statement 	<ul style="list-style-type: none"> • Annual Maintenance • Connectivity charges • DPM software maintenance • IDEAS (Annual Fees)
2	Issuer	<ul style="list-style-type: none"> • Distribution of non-cash corporate benefits • One time Custody Fee • Annual Custody Fee • Document Processing Fee • Tender Offers 	<ul style="list-style-type: none"> • Verification of BO IDs for IPOs, right issues, etc. • BENPOS download • Rectification of error by Issuer/ R & T agent
3	Other Users	<ul style="list-style-type: none"> • Demat Account Number validation charges to lead managers • Data verification charges to stock exchanges for public issues • Email communication to investors based on Stock Exchange requests 	<ul style="list-style-type: none"> • Connectivity charges • DPM software charges • IDEAS

Fee Structure

Securities : Domestic Stocks, ETF, REIT, Commercial Paper, Certificate of Deposit

1. Transaction Fee	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Transfer - Rs. 4.5 per debit transaction. Credit transaction are free. Pledge – Rs. 25 per pledge.
2. Custody Fees	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Rs. 11 per folio subject to capital slabs.
3. CAS fees for Physical statement	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Rs. 0.75 per investor for email CAS Rs. 8 per investor for physical CAS
4. Annual Maintenance Fee for Corporate Account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Rs. 500 per corporate account per annum
5. Volume Discount	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
6. Minimum Charge	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
7. Who you Charge	<input checked="" type="checkbox"/> Depository Participants <input type="checkbox"/> Individual investors (final investors) <input checked="" type="checkbox"/> Issuers <input type="checkbox"/> Regulators <input type="checkbox"/> CSDs <input checked="" type="checkbox"/> Others:

Basic Services

- ☐ Settlement of trades
- ☐ Pledge
- ☐ Dematerialisation/Rematerialisation
- ☐ Distribution of non-cash corporate actions
- ☐ Client Account Maintenance (*including transmission etc.*)

Service Breadth

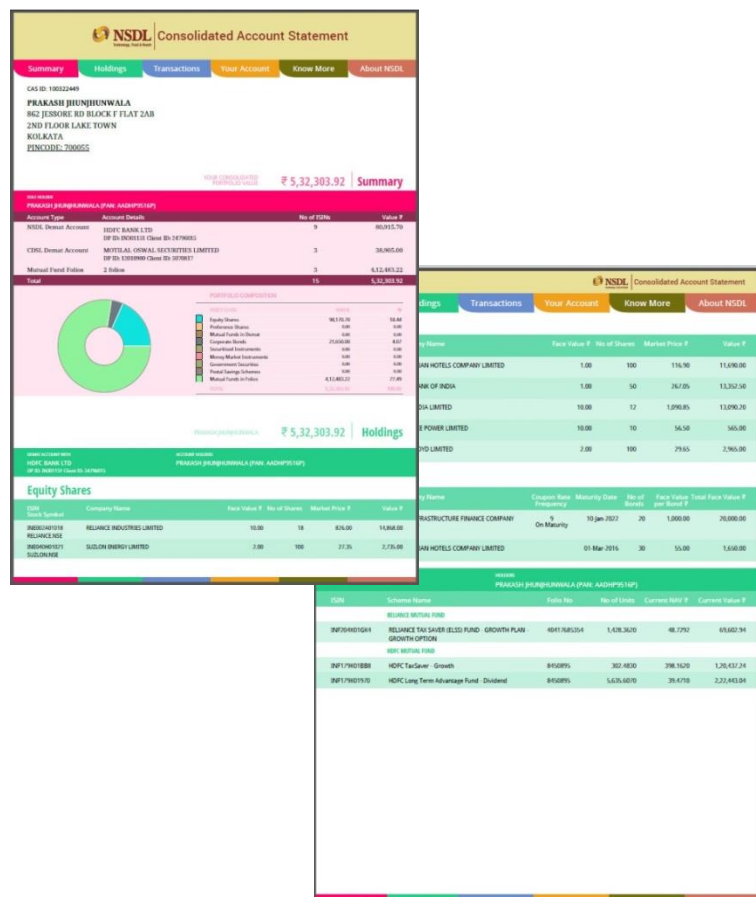
- ☐ Equities
- ☐ Mutual Funds
- ☐ Corporate Bonds
- ☐ Government Bonds /Treasury Bills/ Municipal Bonds
- ☐ Commercial Paper/Certificate of Deposit
- ☐ Pass Through Certificate/ Securitised Instruments

Value-added Services



- ☐ IDeAS (Transaction Statement)
- ☐ TRADeS (SMS alerts)
- ☐ ACE (email alerts)
- ☐ Mobile Application
- ☐ SPEED-e (instruction submission through internet)
- ☐ SPICE (auto pay-in)
- ☐ STeADY (contract notes)
- ☐ e-Voting
- ☐ FPI Portal
- ☐ Corporate Bond Database
- ☐ DMS
- ☐ Consolidated Account Statement (CAS)

Consolidated Account Statement



- **All assets under SEBI (capital market regulator) jurisdiction**
 - All securities
- **Across all accounts of client**
 - Consolidation based on PAN
 - Across family (i.e joint accounts)
- **Aggregation of investment funds**
 - Data from Transfer Agents
- **Complete information about products**
 - Corporate Bond Database
- **Rich portfolio analysis**
 - Portfolio Value movement
 - Composition of portfolio
 - Returns analysis
- **Sent directly by NSDL to clients**
- **Insurance included**

Thank you