

Fintech Blooming in TDCC: from E-voting to E-passbook

2018.5.11

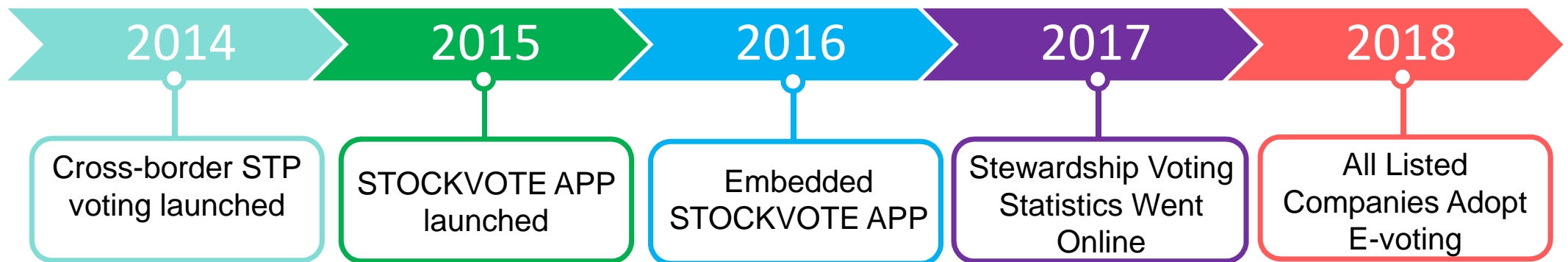
TDCC
Issuer Services Dept.

Outline

- 1. The Evolution of E-voting over the Years**
- 2. Innovative Services Featured in E-passbook**
- 3. Conclusion**

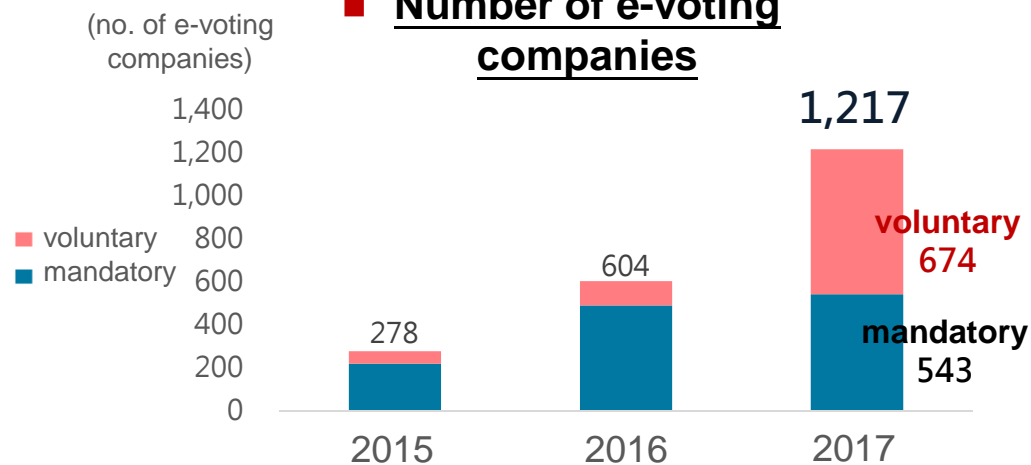
1. The Evolution of E-voting over the Years

■ All Kinds of Enhancements

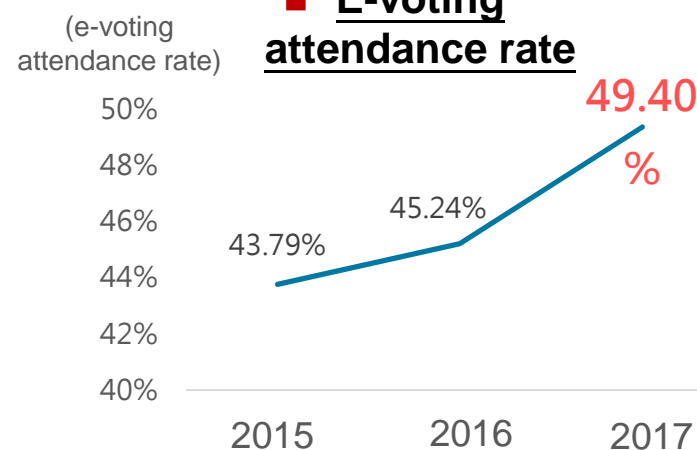


1. The Evolution of E-voting over the Years

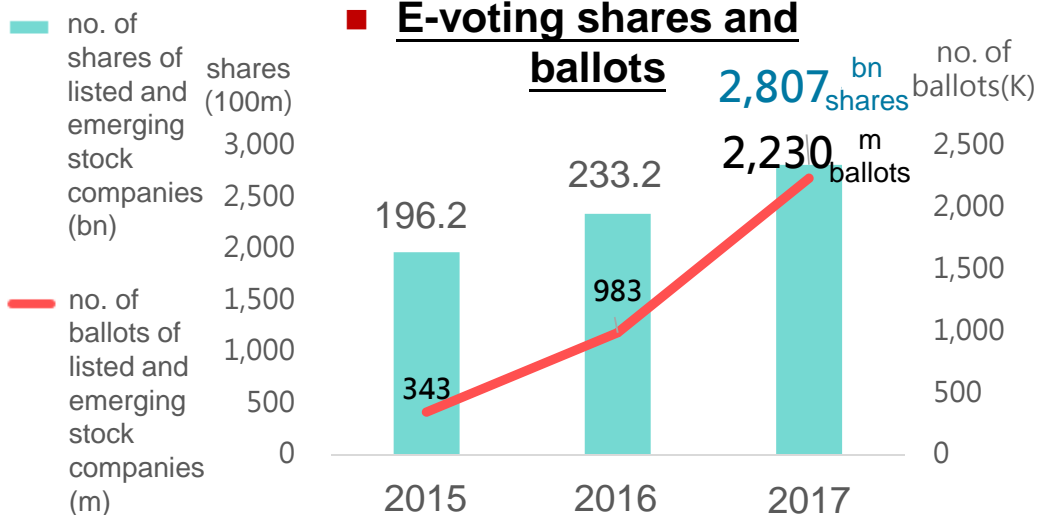
■ **Number of e-voting companies**



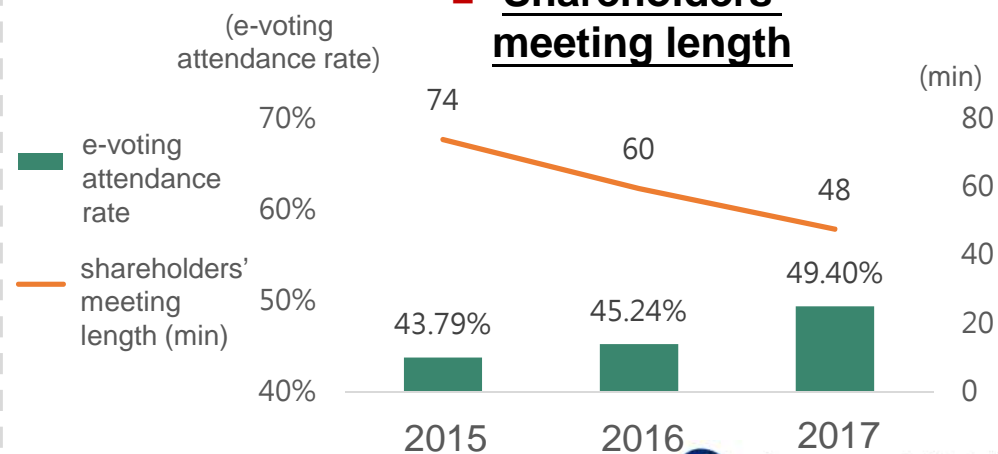
■ **E-voting attendance rate**



■ **E-voting shares and ballots**



■ **Shareholders' meeting length**



1. The Evolution of E-voting over the Years

Efforts on behalf of
institutional investors

■ Benefits of the STP Voting Service

- ✓ Efficient reconciliation mechanism
- ✓ Golden Agenda
- ✓ Voting Period extended

1. The Evolution of E-voting over the Years

Efforts on behalf of institutional investors

■ Stewardship Voting Statistics

股東會議案分類投票統計(使用電子投票彙總表-依42分類)

戶名：45629152B1 O O保險公司 ← Name of institutional investor										統一編號/稅籍編號：456291					
股東會期間： 106全年度 ← Period of AGMs										製表日期：107/01/23					
使用電子投票家次：		5		電子投票表決權數										0 權	
類別	Motion types 議 案	總議案數	投票總權數	• no. of investee companies vote <u>in favor</u> • shares vote <u>in favor</u>				in <u>against</u>				in <u>abstained</u>			
				議案數	%	權數	%	議案數	%	權數	議案數	%	權數		
1	年度財務報表之承認	5	99,000	5	100%	99,000	100%	0	0%	0	0%	0	0%	0	
2	盈餘分派之承認	4	75,000	4	100%	75,000	100%	0	0%	0	0%	0	0%	0	
3	虧損撥補之承認	1	24,000	1	100%	24,000	100%	0	0%	0	0%	0	0%	0	
4	資本公積分派現金	1	24,000	0	0%	0	0%	0	0%	0	0%	1	100%	24,000	
5	章程	3	72,000	3	100%	72,000	100%	0	0%	0	0%	0	0%	0	
6	員工及董監事酬勞分派	0	0	0	0%	0	0%	0	0%	0	0%	0	0%	0	
7	解除公司法13條轉投資限制	0	0	0	0%	0	0%	0	0%	0	0%	0	0%	0	
8	修訂「資金貸與他人作業程序」	2	27,000	2	100%	27,000	100%	0	0%	0	0%	0	0%	0	
9	修訂「背書保證作業程序」	2	27,000	2	100%	27,000	100%	0	0%	0	0%	0	0%	0	
10	修訂「取得或處分資產處理程序」	5	99,000	5	100%	99,000	100%	0	0%	0	0%	0	0%	0	

1. The Evolution of E-voting over the Years

Efforts on behalf of
retail investors

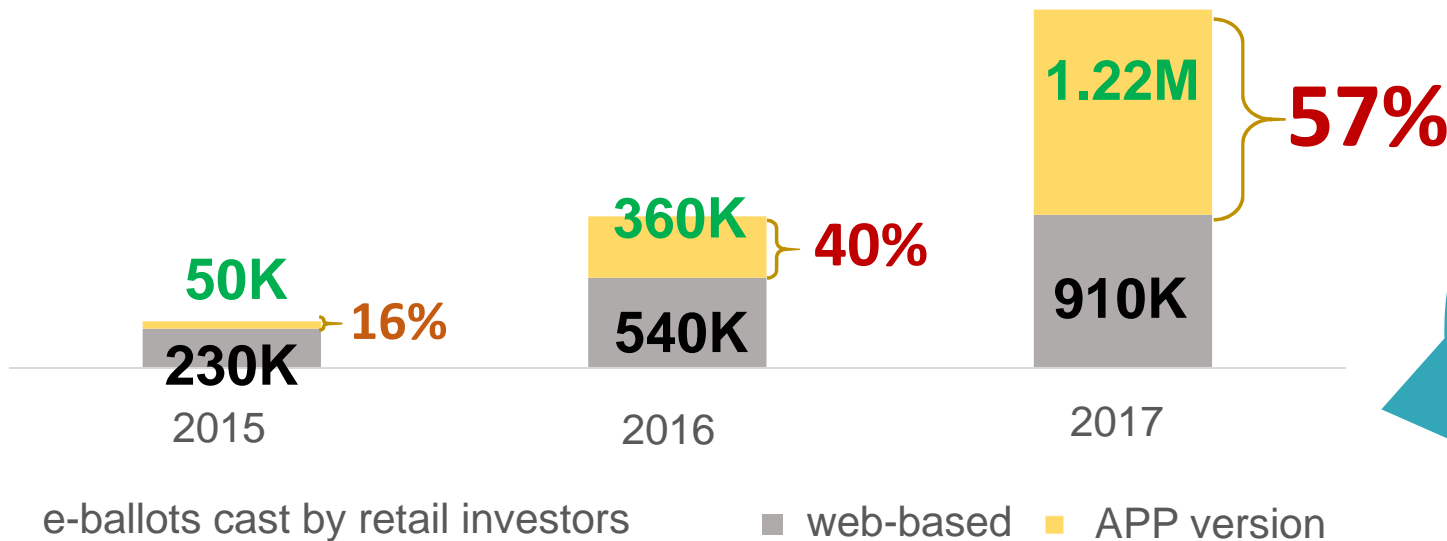
■ STOCKVOTE APP: Innovation and Coordination

- ✓ Various Embedded STOCKVOTE APP :
 - **32** Taiwan brokers' mobile trading APPs
 - **ePassbook**
- ✓ Creative promotion plans:
encourage engagement of retail investors

1. The Evolution of E-voting over the Years

Efforts on behalf of
retail investors

■ Ballots cast via different voting channel: web-based vs. APP



In 2017, Stockvote proudly obtained a patent in TW for establishing an automated cross-platform shareholder voting method and system

STOCKVOTE APP
launched

**Embedded
STOCKVOTE APP**
-Trading APPs

**Embedded
STOCKVOTE APP**
-ePassbook

What's Next

2018

100%
E-voting

**Innovative
Services with
e-Passbook**



2. Innovative Services Featured in E-passbook

One-stop
Shopping Design



- + **ePassbook** was launched in March, 2017
- + Nearly **300K** accounts opening during this 13 months

- ✓ **My Assets** – **Checking Balance**
- ✓ **Stockvote** – **E-voting Platform**
- ✓ **My Rights** – **Dividends and Shareholders' Meeting Info.**
- ✓ **Messages** – **Market, Passbook and Corporate Actions**

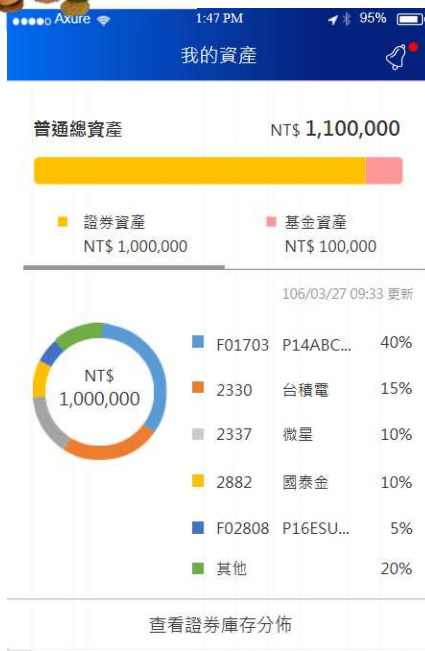
2. Innovative Services Featured in E-passbook

My Asset



My Assets

➤ Glance across Asset Types



Stocks



Funds



Bonds



Users can catch all details within a swipe



All the assets can be expressed in market value



*The schematic is for reference only

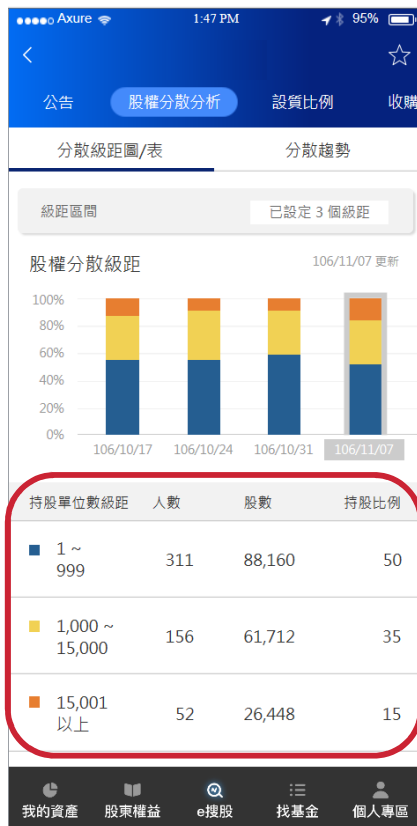
2. Innovative Services Featured in E-passbook

Company
Shareholding
Dispersion



Data fOCUS

➤ Customized Brackets



Shareholder Ownership Brackets (in shares)	Number of Shareholders	Shares	Shareholding Ratio(%)
1 ~ 999	311	88,160	50
1,000 ~ 15,000	156	61,712	35
15,001 以上	52	26,448	15

*The schematic is for reference only

2. Innovative Services with e-Passbook



Data fOcus

➤ Comprehensive overview-1

Company Shareholding
Dispersion



Closing Price
VS.
Shareholding Dispersion



- Shareholding ratio of shareholders with xxx share above
- Closing price
- Shareholding ratio of shareholders with xxx share below

*The schematic is for reference only

3. Conclusion

1. Better user experience
2. Level up information transparency of capital market

Thank you