



# Investor e-Service of TSD

ACG: CTS Networking

September 27, 2021

Shareholder ➤ Wholly-owned by the Stock Exchange of Thailand (SET)

Board of Director ➤ Composed of executives from SET and independent directors

## Core Functions



➤ Provides all e-Services to investors

### 1 Central Securities Depository (CSD)

### 2 Registrar Service for all listed securities and some OTC products

Type of Securities can be deposited	Equity: Shares/ Warrant/ Derivative Warrant/ Investment unit (ETF, REIT, IFF) Fixed income: Government Bond/ Corporate Bond
Who can be TSD's depositor	<ul style="list-style-type: none"> <li>• Bank of Thailand</li> <li>• Securities Companies</li> <li>• Financial Institutions under financial institution business law (i.e. custodian bank)</li> <li>• Life or Non-life Insurers under insurance law</li> <li>• Mutual fund trustee</li> <li>• Securities issuers</li> </ul>

#### Services for Issuer

- Record issuer's information
- Prepare and maintain registrar book up-to-date
- Distribute entitlements for corporate actions



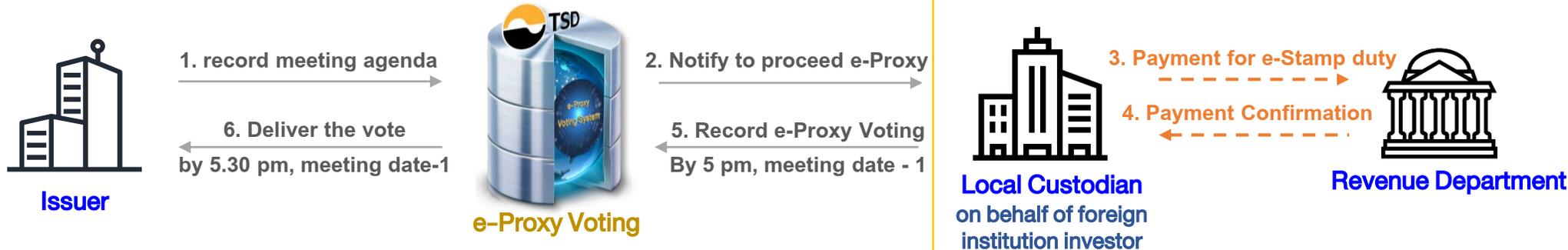
#### Services for Shareholders

- Deposit, withdraw and transfer (certificate)
- Change and up-to-date shareholders' information as per request from shareholders
- TSD Counter Service and Contact Center

- **e-Proxy Voting**
- **QR Code Service for shareholders' meeting documents**
- **Investor Portal**

Services cover only for Securities which appointed TSD as **Registrar**

# Registrar Services: e-Proxy Voting



**Phase 1: Foreign Institution**

3. Payment for e-Stamp duty

4. Payment Confirmation

Local Custodian on behalf of foreign institution investor

Revenue Department

Live: May 2020, Start with e-proxy voting on behalf of foreign investors  
Live: July 2020, Connectivity with RD for e-stamp duty

- Services cover**
1. Foreign Institution – Phase 1 (Done) ✓
  2. Local Institution – on the process of development
  3. Local Retail investor – on the process of development

# Registrar Services:

## QR Code Service for shareholders' meeting documents



### Previous

1 Meeting Documents



2 Annual Report



3 Other i.e. ESG report



### Now



Launch in Feb 2019



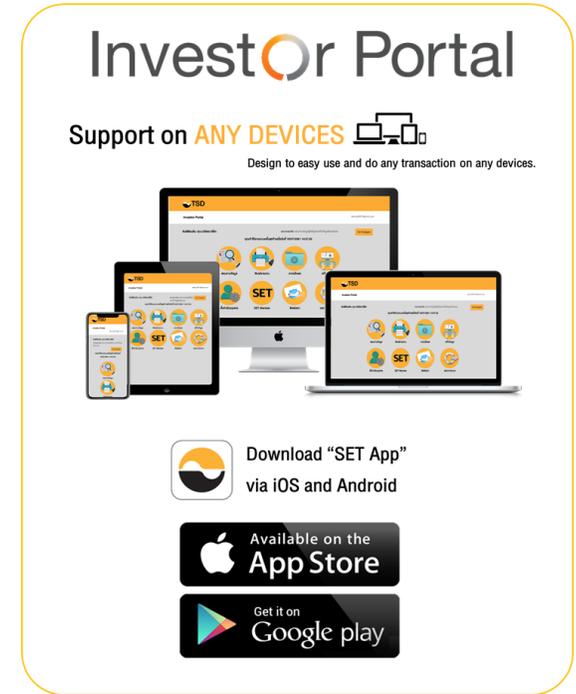
Reduce cost of issuer

# Registrar Service: Investor Portal

Securities Holder Information of individual shareholders via electronic access



**Next enhancement:**  
To support investor can transfer their shares through IVP



**Investor Portal**

Support on **ANY DEVICES**   
Design to easy use and do any transaction on any devices.



Download "SET App" via iOS and Android

Available on the **App Store**

Get it on **Google play**



[www.set.or.th/tsd](http://www.set.or.th/tsd)